Environmental Protection Agency

§ 1048.250 What records must I keep and make available to EPA?

- (a) Send the Designated Compliance Officer information related to your U.S.-directed production volumes as described in §1048.345. In addition, within 45 days after the end of the model year, you must send us a report describing information about engines you produced during the model year as follows:
- (1) State the total production volume for each engine family that is not subject to reporting under §1048.345.
- (2) State the total production volume for any engine family for which you produce engines after completing the reports required in §1048.345.
- (3) For production volumes you report under this paragraph (a), identify whether or not the figures include California sales. Include a separate count of production volumes for California sales if those figures are available.
- (b) Organize and maintain the following records:
- (1) A copy of all applications and any summary information you send us.
- (2) Any of the information we specify in §1048.205 that you were not required to include in your application.
- (3) A detailed history of each emission-data engine. For each engine, describe all of the following:
- (i) The emission-data engine's construction, including its origin and buildup, steps you took to ensure that it represents production engines, any components you built specially for it, and all the components you include in your application for certification.
- (ii) How you accumulated engine operating hours (service accumulation), including the dates and the number of hours accumulated.
- (iii) All maintenance, including modifications, parts changes, and other service, and the dates and reasons for the maintenance.
- (iv) All your emission tests, including documentation on routine and standard tests, as specified in part 40 CFR part 1065, and the date and purpose of each test.
- (v) All tests to diagnose engine or emission-control performance, giving the date and time of each and the reasons for the test.
 - (vi) Any other significant events.

- (4) Production figures for each engine family divided by assembly plant.
- (5) Keep a list of engine identification numbers for all the engines you produce under each certificate of conformity.
- (c) Keep data from routine emission tests (such as test cell temperatures and relative humidity readings) for one year after we issue the associated certificate of conformity. Keep all other information specified in this section for eight years after we issue your certificate.
- (d) Store these records in any format and on any media, as long as you can promptly send us organized, written records in English if we ask for them. You must keep these records readily available. We may review them at any time.

[67 FR 68347, Nov. 8, 2002, as amended at 70 FR 40474, July 13, 2005; 73 FR 59236, Oct. 8, 2008]

§ 1048.255 What decisions may EPA make regarding my certificate of conformity?

- (a) If we determine your application is complete and shows that the engine family meets all the requirements of this part and the Act, we will issue a certificate of conformity for your engine family for that model year. We may make the approval subject to additional conditions.
- (b) We may deny your application for certification if we determine that your engine family fails to comply with emission standards or other requirements of this part or the Clean Air Act. We will base our decision on all available information. If we deny your application, we will explain why in writing.
- (c) In addition, we may deny your application or suspend or revoke your certificate if you do any of the following:
- (1) Refuse to comply with any testing or reporting requirements.
- (2) Submit false or incomplete information (paragraph (e) of this section applies if this is fraudulent).
 - (3) Render inaccurate any test data.
- (4) Deny us from completing authorized activities despite our presenting a warrant or court order (see 40 CFR